

STAY COMPLIANT WITH CURRENT AND CHANGING REGULATIONS



A click (or push of a button) away in one business day.

ComplianceHelp@wipfli.com is an affordable, annual subscription service that gives your financial institution and your staff fast and trustworthy regulatory information. Simply email us your compliance questions and receive emailed responses from Wipfli's regulatory compliance experts within one business day.

In addition, we offer ComplianceHelpLive meetings, at which you get updates on changing regulations, network with peers, and learn additional ways to improve your institution's compliance understanding. Meeting attendance can be by phone or in person, whichever is a better fit for your needs and schedule.

Today's ever-changing regulatory environment makes it difficult for financial institutions to maintain an effective compliance program. Wipfli gives you direct access to the reliable expertise you need, when you need it, through **ComplianceHelp@wipfli.com**.

Navigate compliance regulations with responsiveness you can count on.

Whether your staff needs quick direction on a straightforward compliance question or in-depth insights that can broaden regulatory understanding, **ComplianceHelp@wipfli.com** delivers with trusted expertise. In addition to quick, emailed responses, your annual subscription provides access to quarterly ComplianceHelpLive meetings that include:

- 90-minute updates on compliance regulations and hot topics facilitated by Wipfli compliance experts, with optional on-site discussion time afterward.
- In-person meetings at several different locations on three dates each quarter or a toll-free conference call attendance option that will eliminate travel time.

- Networking opportunities with peers.
- Downloadable materials.
- Unlimited attendees from your financial institution.

Wipfli's financial institutions practice includes partners and associates who have worked in banking and whose time and professional training are dedicated to serving your industry. Our Risk Advisory Services team offers you trusted experience to help create processes and a culture tailored to your compliance needs.

Put the strength of Wipfli behind your financial institution with regulatory compliance solutions that work for you.

For more information, visit

wipfli.com/ComplianceHelp or call **800.486.3454**

WIPFLI
CPAs and Consultants

Comprehensive Regulatory Compliance Services

Wipfli compliance consultants bring real-world experience to deliver the expertise you need. Our team includes former financial institution compliance officers, compliance auditors, regulatory examiners, certified regulatory compliance managers, certified anti-money laundering specialists, and certified risk assurance managers. Having worked in the trenches, they understand the realities you face and provide the help and guidance you need to be successful. In addition to **ComplianceHelp@wipfli.com**, Wipfli provides regulatory compliance services to financial institutions around the country. Our approach is centered on risk-focused, best practice solutions and service excellence. We can:



- Identify, evaluate, and examine risks associated with:
 - Lending regulations
 - Deposit regulations
 - Miscellaneous operations regulations
- Provide compliance support for new products, services, or marketing campaigns
- Help establish successful compliance management systems
- Perform routine compliance monitoring and provide solutions to issues detected
- Conduct CRA analysis assistance
- Assist with requirements of regulatory orders
- Provide assistance with compliance policy and procedure development
- Train staff, boards, and compliance personnel or new compliance officers
- Facilitate risk assessments
- Provide assistance with due diligence for mergers and acquisitions
- Perform compliance officer duties on as-needed basis
- Assist with fair lending risk concerns and perform a fair lending analysis
- Perform Bank Secrecy Act/Anti-Money Laundering independent examinations
- Provide tailorable training materials

Subscribe to **ComplianceHelp@wipfli.com**
and choose from three annual participation levels:

LEVEL 1

ComplianceHelp@wipfli.com Q&A

- Up to 50 emailed questions per year
- Quarterly ComplianceHelpLive meetings
- Option to upgrade from Level 1 to Level 2 after reaching 45 questions

LEVEL 2

ComplianceHelp@wipfli.com
Unlimited

- Unlimited emailed questions
- Quarterly ComplianceHelpLive meetings

LEVEL 3

Quarterly ComplianceHelpLive
meetings only